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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: William Thornton  
SERIAL NO.: 09/699,805  
FILED: 10/30/2000

ART UNIT NO.: 3713  
EXAMINER:  
DOCKET NO.: 98006/17UTL

TITLE: Apparatus for Simulating a Pulse  
and Heart Beat and Methods for Using  
Same to Train Medical Professionals

CERTIFICATE OF MAIL BY EXPRESS MAIL	
EL 389.347.463.US Express Mail Number	May 9, 2001 Date of Deposit
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner of Patent BOX MISSING PARTS Washington, D.C. 20231	
Robert W. Strozier 	May 9, 2001 Date of Signature

RESPONSE TO THE NOTICE OF INCOMPLETE REPLY

Dear Sir:

The Applicant hereby responds to the Notice OF INCOMPLETE REPLY Nonprovisional Application of April 26, 2001, and submits the following documents:

- (1) **NOTICE OF INCOMPLETE REPLY** of the Nonprovisional Application included with a check in the amount of \$720 for the filing fee and surcharge; Please charge any deficiency to our Deposit Account #501518.
- (2) Post Card.

Respectfully submitted,

  
Robert W. Strozier, Reg. No. 34,024  
Attorney for Applicants

Date: May 10, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/699,805	10/30/2000	William Thornton	98006/17UTL

CONFIRMATION NO. 8722

23873  
ROBERT W STROZIER, PLLC  
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HOUSTON, TX 77042

## FORMALITIES LETTER



\*OC00000006013599\*

Date Mailed: 04/26/2001

5/26/01

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

6/26/01

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 03/29/2001 to the Notice to File Missing Parts (Notice) mailed 01/23/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136-(a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$160.
  - \$160 for 4 independent claims over 3 .
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 580. + 140 w/ *2nd mo extension*

*A copy of this notice MUST be returned with the reply.*

*John Phillips*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

## PART 1 - ATTORNEY/APPLICANT COPY

05/15/2001 AZERGAW1 00000004 09699805

01 FC:201	355.00 OP
02 FC:202	160.00 OP
03 FC:205	65.00 OP

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